



## Privacy Notice

National Securities Corporation (NSC) has designed this privacy policy to protect the confidentiality of information we receive about our current and former customers.

### **Nonpublic Personal Information Collected:**

NSC collects nonpublic personal information about you from the following sources: (a) information received from you in connection with applications and other forms for establishing and maintaining your investment accounts, (b) information about your transactions with us, our affiliates or others, and (c) information we receive from a consumer reporting agency.

### **Disclosure of Nonpublic Personal Information:**

NSC will only disclose nonpublic personal information about you to affiliated companies or other financial service providers, such as custodians, open and closed-end mutual fund companies, variable life insurance companies, or other companies whose products are sold by NSC, in connection with the creation and maintenance of your investment account(s). We do not disclose any nonpublic personal information about our customers or former customers to any other persons, except as permitted by law, or with your consent.

### **Protection of Your Information:**

NSC restricts access to your nonpublic personal information to only those employees and service providers who need to know that information to provide products and services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.